Accepted Manuscript

CD44-Tropic Polymeric Nanocarrier for Breast Cancer Targeted Rapamycin Chemotherapy

Yunqi Zhao MS, Ti Zhang MS, Shaofeng Duan PhD, Neal M. Davies PhD, M. Laird Forrest PhD

PII: S1549-9634(14)00118-X
DOI: doi: 10.1016/j.nano.2014.02.015
Reference: NANO 908

To appear in: Nanomedicine: Nanotechnology, Biology and Medicine

Received date: 5 December 2013
Revised date: 6 February 2014
Accepted date: 26 February 2014

Please cite this article as: Zhao Yunqi, Zhang Ti, Duan Shaofeng, Davies Neal M., Forrest M. Laird, CD44-Tropic Polymeric Nanocarrier for Breast Cancer Targeted Rapamycin Chemotherapy, Nanomedicine: Nanotechnology, Biology and Medicine (2014), doi: 10.1016/j.nano.2014.02.015

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.
Title: CD44-Tropic Polymeric Nanocarrier for Breast Cancer Targeted Rapamycin Chemotherapy

Authors:

Yunqi Zhao, MS, Department of Pharmaceutical Chemistry, The University of Kansas, 2095 Constant Ave. Lawrence, Kansas 66047, United States

Ti Zhang, MS, Department of Pharmaceutical Chemistry, The University of Kansas, 2095 Constant Ave. Lawrence, Kansas 66047, United States

Shaofeng Duan, PhD, Department of Pharmaceutical Chemistry, The University of Kansas, 2095 Constant Ave. Lawrence, Kansas 66047, United States

Neal M. Davies, PhD, Faculty of Pharmacy, The University of Manitoba, 750 McDermot Road, Winnipeg, Manitoba R3Y 2N2, Canada

M. Laird Forrest*, PhD, Department of Pharmaceutical Chemistry, The University of Kansas, 2095 Constant Ave. Lawrence, Kansas 66047, United States

*Correspondence to: Dr. M. Laird Forrest, The University of Kansas, 2095 Constant Ave., Lawrence, KS 66047. Ph.: 1-785-864-4388. E-mail: mforrest@ku.edu. Fax: 1-785-864-5736.
Abstract word count: 148

Manuscript word count: 4538

Number of references: 37

Number of figures: 6

Number of tables: 3

Funding

This work was funded by the American Cancer Society (RSG-08-133-01-CDD, PI Forrest) and National Institutes of Health (1R01CA173292-01, PI Forrest).

Financial Conflict of Interest

MLF has a financial interest in HylaPharm LLC, which has licensed related technologies.
Abstract

In contrast with the conventional targeting of nanoparticles to cancer cells with antibody or peptide conjugates, a hyaluronic acid (HA) matrix nanoparticle with intrinsic-CD44-tropism was developed to deliver rapamycin for localized CD44-positive breast cancer treatment. Rapamycin was chemically conjugated to the particle surface via a novel sustained-release linker, 3-amino-4-methoxy-benzoic acid. The release of the drug from the HA nanoparticle was improved by 42-fold compared to HA-temsirolimus in buffered saline. In CD44 positive MDA-MB-468 cells, using HA as drug delivery carrier, the cell-viability was significantly decreased compared to free rapamycin and CD44-blocked controls. Rat pharmacokinetics showed that the area-under-the-curve of HA nanoparticle formulation was 2.96-fold greater than that of the free drug, and the concomitant total body clearance was 8.82-fold slower. Moreover, in immunocompetent BALB/c mice bearing CD44-positive 4T1.2neu breast cancer, the rapamycin1loaded HA particles significantly improved animal survival, suppressed tumor growth and reduced the prevalence of lung metastasis.

Key Words: Hyaluronic acid, Rapamycin, CD44, Breast Cancer
Introduction

Hyaluronic acid (HA) is a highly water soluble and biodegradable polymer that distributed throughout human body. It is one of the principal ligands for CD44. CD44 is a cell surface molecule involved in proliferation, differentiation and migration of cancer cells (1). The CD44 receptor is one of the most widely accepted cell surface markers of variety types of cancer cells, such as breast cancer cell lines MCF-7, MDA-MB-468 and MDA-MB-231 (2). The expression of specific CD44 isoforms is also associated with various cancer biomarkers and tumor subtypes (3). The CD44 receptor mediates cell-cell and cell-matrix interactions through its affinity with HA and the adhesion with HA molecule plays an important role in tumor growth and progression. Therefore, the interaction of CD44 and HA can be used as a potential target for cancer therapy (4-7).

The usage of HA as a drug delivery platform matrix could provide specific cancer targeting via CD44 interaction and the benefits associated with both active-targeting nanoparticle and polymer-drug conjugates. Biodegradable polymeric nanoparticles with the combination of targeted delivery and controlled release manner could allow drug to be specifically delivered to cancer cells per targeting bio-recognition event and minimize systemic toxicity (8). A steady state cytotoxic drug concentration at the tumor site over an extended period of time can be reached by this strategy. One the other hand, the polymer-drug conjugates are designed to increase therapeutic index by drug specific targeting of disease, tissues, reducing systemic drug exposure (9, 10), and increased plasma circulation time. The polymer-drug conjugates can be bio-activated to provide their own therapeutic benefits, and they also can improve release kinetics of the drug and prevent carrier accumulation in the body (11). Moreover, the polymer based
drug delivery platform has demonstrated prolonged in vivo half-life, is less prone to enzyme degradation with less immunogenicity compared to the conventional chemotherapy (12-14). It also provides an effective and promising way for neoplastic treatment due to the changing of cellular uptake mechanisms, pharmacokinetic disposition and ultimately targeting of the drug (15).

Rapamycin is a selective inhibitor of mammalian target of rapamycin (mTOR) and blocks the subsequent activation of p70 S6 kinase (16). The mTOR pathway regulates a variety of cellular signals and development processes, including mitogenic growth factors, hormones, nutrients, cellular energy levels and stress conditions (17). It is frequently activated in certain human cancers, such as breast cancer (18). The mTOR pathway is also widely involved in both apoptotic and autophagic pathways during oxidative stress (19, 20). Therefore, inhibiting the mTOR pathway is extensively considered as an effective approach for targeted cancer therapy (21). One of the challenges of using rapamycin as an anticancer agent in clinic is due to its lipophilic chemistry (22). By using HA a drug delivery carrier, the solubility of rapamycin in water can be dramatically increased from 2.6 µg/mL to more than 10 mg/mL.

In this study, rapamycin loaded HA nanoparticles were prepared. Sustained and controlled release of the drug was achieved. Both chemical and physical properties of the drug loaded nanoparticle were fully characterized. In vitro studies were performed to examine CD44-mediated cancer cell uptake. Efficacy of the drug conjugate was evaluated in BALB/c mice and pharmacokinetics studies were completed in Sprague-Dawley rats.
Methods

Materials

HA_{35k} and rapamycin were purchased from Lifecore Biomedical, Inc. (Chaska, MN) and LC Laboratories (Woburn, MA), respectively. Fmoc-3-amino-4-methoxy-benzoic acid was purchased from AnaSpec, Inc. (Fremont, CA). Other materials and solvents, of their highest grade, were purchased from Fisher Scientific (Lenexa, KS) or Sigma Aldrich (St. Louis, MO).

Synthesis of rapamycin 42-hemisuccinate

The synthetic scheme is shown in Figure 1A. A mixture of rapamycin (0.20 g, 0.22 mmol), succinic anhydride (0.10 g, 1.0 mmol) and Novozym SP 435 (0.45 g) in toluene (10 mL) was stirred at 45 °C under argon for 40 h. The enzyme was filtered off and washed with toluene, and the combined organic phases were concentrated under reduced pressure. The residue was purified by silica gel column chromatography and eluted with EtOAc-hexane (1:4) to furnish the title compound as a white solid (0.2 g, 90%) (23).

Synthesis of HA-Temsirolimus

The 42-hemisuccinated rapamycin (0.150 g, 0.15 mmol) was dissolved in 4 mL of dimethyl sulfoxide (DMSO), and 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC-HCl) (0.057 g, 0.30 mmol) and 1-hydroxybenzotriazole hydrate (HOBt·H_{2}O) (0.046 g, 0.30 mmol) were added to the solution. After 20 min, this solution was added drop wise to HA-adipic acid dihydrazide (ADH) (0.120 g, 22 % ADH) in 10
mL of double distilled water (ddH₂O) cooled on ice. HA-ADH was synthesized as previously described (23). After the addition, the mixture was stirred at ambient temperature (ca. 20°C) overnight. Then, the solution was poured into 100 mL of 95% ethanol (EtOH), and the white precipitate was collected by centrifugation. This procedure was repeated another two times. The collected solid was dried under vacuum overnight and 0.085 g of the product was obtained (yield: 31.48%). The structure was verified by ¹H-NMR (supplementary data).

Synthesis of HA-Rapamycin-42-(3'-amino-4'-methoxy)benzoate (HA-L-Rapamycin)

The synthetic scheme of HA-L-rapamycin is shown in Figure 1B.

Five milliliters of oxalyl chloride in dry methylene chloride (2.0 M in DCM) was added to 150 mg of Fmoc-3-amino-4-methoxy-benzoic acid along with one drop of dry dimethylformamide (DMF) as a catalyst. The mixture was stirred at ambient temperature (ca. 22°C) under dry argon for 2 h. The white suspension turned into a light yellow, clear solution as the reaction neared completion. The organic solvent was removed under reduced pressure.

Compound 1 was suspended in 5 mL of dry DCM and 100 mg of rapamycin and 200 mg of NaHCO₃ were added to the solution. The mixture was stirred at ambient temperature for 2 h under dry argon and protected from light. The suspension was filtered, and the filtrate was washed with bicarbonate water and brine. The organic solvent was dried with Na₂SO₄ and then removed under reduced pressure.
Compound 2 was suspended in 20 % (v/v) piperidine in DMF. The solution was stirred at ambient temperature for 1 h. The organic solvent was removed under reduced pressure and the pale yellow solid was washed several times with ddH$_2$O.

O-(7-azabenzotriazol-1-yl)-N,N,N',N'-tetramethyluronium hexafluorophosphate (HATU) (100 mg, 0.26 mmol) in 1 mL of DMF and N,N-Diisopropylethylamine (DIPEA) (47 µL, 0.27 mmol) were added to 100 mg HA in 5 mL of ddH$_2$O. After 30 min, rapamycin-42-(3'-amino-4'-methoxy) benzoate in 5 mL of DMF was added. The mixture was stirred at ambient temperature overnight. Then, the organic solvent was removed by dialysis (10k MWCO snake skin pleated dialysis tubing, Thermo Scientific, Rockford, IL) against ddH$_2$O. The unbound drug produced a light yellow precipitate that was removed by centrifugation. The drug conjugate was further purified by tangential flow filtration (TFF) using a MicroKros mPEG filter (3.5k MWCO, Spectrum Labs, Rancho Dominguez, CA). The rapamycin loading degree on HA was determined by $^1$H-NMR (supplementary data).

**In Vitro Drug Release Study**

The *in vitro* release of the rapamycin from HA-drug conjugates into PBS or PBS supplemented with 10 % fetal bovine serum (FBS) at 37 °C was monitored by a dialysis method using a SnakeSkin® pleated dialysis tubing (3,500 MWCO) (24). To prevent bacteria growth, 0.05 % sodium azide was added to the serum release medium, and the medium was changed several times a day to maintain sink conditions. After the predetermined time intervals, samples were withdrawn from the dialysis tubing and analyzed using a Spectra MaxM2 microplate spectrophotometer with UV detection of rapamycin at 260 nm.
HA-Rapamycin Conjugate Characterization

**Particle Size** HA and HA-L-Rapa with different loading degrees were dissolved in PBS at the concentration of 2.5 mg/mL. The particle sizes were measured with a ZetaPALS (Brookhaven Instruments Corp.) using the intensity weighted Gaussian distribution.

**Zeta Potential** HA and HA-L-Rapa with different loading degree were dissolved in 10 mM KCl at the concentration of 2.5 mg/mL. Zeta potentials were measured using ZetaPALS. Zeta potential was calculated from the mobility of the system fitted into the Smoluchowski model (25).

**Thermal Analysis**

Differential scanning calorimetry (DSC) of HA-L-Rapa with different loading degrees and HA_{35k} polymer, as received, were studied using a Q100 Universal V4.3A DSC (TA Instruments, New Castle, Delaware). The samples were sealed in a standard aluminum pan and heated from 40 to 300 °C at a scan rate of 10 °C/min.

Thermo gravimetric analysis (TGA) was performed on a Q50 thermogravimetric analyzer from TA Instruments. Samples were loaded on a platinum sample pan and heated from 25 to 300 °C with a heating rate of 10 °C/min. Data were analyzed using Universal Analysis 2000 (version 4.3A) software (TA Instrument).

**Flow Cytometry Analysis**
The expression of CD44 receptor on the surface of breast cancer cells, MDA-MB-468 and 4T1.2neu, was examined by flow cytometry analysis. PE mouse anti-human CD44 (BD Pharmingen, San Jose, CA) was used to stain MDA-MB-468 cells, and PE rat anti-mouse CD44 (Pgp-1-R-PE, Southern Biothech, Birmingham, AL) was used with murine 4T1.2neu cells. PE mouse IgGl isotope control (BD Pharmingen, San Jose, CA) was used as a control. Anti-human CD44 antibody (H-CAM, Thermo Scientific, Rockford, IL) was used in receptor blocking assays.

Cytotoxicity Assay

Breast cancer MDA-MB-468 cells were maintained in Dulbecco’s modified eagle medium supplemented with 10 % fetal bovine serum (Hyclone Laboratory Inc., Logan, Utah). Cells were plated in white 96-well flat-bottomed plates at the concentration of 5,000 cells/well in 90 µL of growth medium. After 12 h, rapamycin or HA-L-Rapa in Hanks’ solution were added at different concentrations. Hanks’ solution and 10 % trichloroacetic acid (TCA) were used as negative and positive control, respectively. The medium was refreshed 8 h after treatment. After 72 h post-treatment, resazurin blue (5 µM) was added and the resorufin product was measured with a fluorophotometer using an excitation wavelength of 550 nm and an emission wavelength of 590 nm.

Cellular Uptake Study

Breast cancer cells, MDA-MB-468, were seeded in a 12-well plate at the concentration of 50,000 cells/well in 1 mL of growth medium. After 12 h incubation, 10 µL of human anti-CD44 antibody was added to each well. After 1 h, 10 µM of rapamycin or HA-L-Rapa conjugate were added to the cells. The supernatant was then analyzed
by HPLC with a reverse phase column (TSK-GEL® ODS-100Z, Tosoh Bioscience) at 50°C and UV detection at 278 nm for rapamycin.

**Pharmacokinetics Study**

Female Sprague-Dawley rats (350 - 450 g, Charles Rivers) were administered rapamycin (1 mg/mL in formulation buffer) by intraperitoneal (i.p.) injection or HA-L-Rapa (10 mg/kg equivalent rapamycin; n = 3 for each group) by subcutaneous (s.c) injection under isoflurane anesthesia. Whole blood was withdrawn (100 µL) from the tail vein at 0 min, 5 min, 30 min, 1 h, 2 h, 4 h, 6 h, 12 h, 24 h and 48 h after dosing and placed in heparinized tubes (BD Vacutainer® Lithium Heparin 37 USP unit, BD Franklin Lakes, NJ). The whole blood was centrifuged at 15,000 × g for 10 min, and the plasma was frozen at -80°C until analyzed. The animal use statement was approved by the University of Kansas Institutional Animal Care and Use Committee. Plasma samples and stander curves were prepared using a procedure reported previously (26).

**Animal Survival Study and Tissue Distribution**

The murine breast cancer 4T1.2neu cell line was used to establish the synergetic orthotopic tumor model in immunocompetent mice. Female BALB/c mice (20 - 25 g, Charles Rivers) under isoflurane anesthesia were inoculated in the right mammary gland with 1 × 10⁶ cells suspended in PBS. Treatment started when the tumor size reached 50 mm³. Free rapamycin was dissolved in anhydrous ethanol and reconstituted in formulation buffer before use. The formulation buffer of free rapamycin consisted of 5 % polyethylene glycol 400 and 5 % Tween 80 in Hanks’ balance salt solution. HA-L-Rapa was dissolved in Hanks’ solution. Mice received 10 mg/kg equivalent rapamycin once
per week for 3 weeks by i.p. injection (free rapamycin) or s.c injection (HA-L-Rapa). Control animals were injected with Hanks’ solution. Animals were sacrificed when tumors grow larger than 1000 mm$^3$ or if the tumors ulcerated in accordance with the approved animal use protocol.

Drug tissue distribution was determined in female BALB/c mice (n = 5). Tissue samples (50 mg) in 500 µL PBS were homogenized using a Tissue Tearor (BioSpec Products, Inc., Bartlesville, OK). The homogenized tissue was mixed with 250 µL ZnSO$_4$ and 500 µL methanol. The mixture solution was centrifuged and the supernatant was analyzed by LC/MS.

In the tissue distribution study, BLAB/c mice were administered the drugs 12 h before being euthanized. Major organs (liver, kidneys, hear, spleen, lungs, brain, muscle), tumor and lymph nodes were excised and lightly washed with PBS. The organs were stored at -80°C until analyzed by LC/MS.

**Statistical Analysis**

GraphPad Prism 5 software was used for statistical analysis. A $t$-test was used for statistical analysis of comparing two means. The Mantel-Cox test was used for comparison of Kaplan-Meyer analysis. In all comparisons, statistical significance was set at $p \leq 0.05$.

**Results**

*In Vitro Drug Release Study*
The release profiles of free rapamycin, HA-Temsirolimus and HA-L-Rapa were fitted using first order kinetics. The release half-lives of free rapamycin (PBS), HA-Temsirolimus (PBS), HA-L-Rapa (PBS), HA-L-Rapa (Serum) were 0.16 h, 4 h, 7 d and 1.5 d, respectively. The rate constants of release were 0.01567 h$^{-1}$, 0.07881 h$^{-1}$, 0.01767 h$^{-1}$ and 0.00344 h$^{-1}$ for free rapamycin (PBS), HA-Temsirolimus (PBS), HA-L-Rapa (PBS) and HA-L-Rapa (serum), respectively.

**Characterizations of HA Drug Conjugates**

*Particle sizes and Zeta potentials* Particle sizes of non-conjugated HA$_{35k}$ and HA-L-Rapa with different drug loading degrees were shown in Table 1. There was no significant size difference between drug conjugated and non-conjugated HA. However, the absolute value of zeta potential decreased with increasing the drug loading degree on the HA. The negative zeta potential is expected as each HA monomer has one carboxylic group (pKa ~4.5) and one HA$_{35k}$ has approximately 87 repeating units that yield a strong negatively charged nanoparticle at pH 7.4. By conjugating with rapamycin, a large hydrophobic molecule, carboxylic groups on HA surface were partially esterified by conjugation and some adjacent negative charged carboxylic groups were shielded by the drug; therefore, the absolute zeta potential value was decreased.

**Thermal Analysis**

*DSC* Thermally induced conformational transitions of rapamycin, HA$_{35k}$ and HA-L-Rapa with different drug loading degree are shown in Figure 2A. The rapamycin showed two endothermic peaks at 187 °C and 200 °C. The free HA$_{35k}$ was characterized by a broad endothermic at 120 °C and an exothermic peak at 240 °C. The first broad
endothermic peak of HA at approximately 120 °C suggests a dehydration process. An exothermic peak at ca. 240 °C was attributed to the decomposition of the polymer. The free rapamycin was characterized by two endothermic peaks at 187 °C and 200 °C. The split peak indicated that there are two crystalline structures of pure rapamycin. However, the second exothermic characteristic peak of HA was not observed in HA-L-Rapa conjugates’ DSC profiles. In addition, the two endothermic peaks of free rapamycin merged together and shifted to a higher temperature (210 °C).

Both the characteristic decomposition DSC peaks of HA and rapamycin disappeared in the HA-L-Rapa profile. The exothermic peak of HA was ascribed to the melting of the polymer crystal. The disappearance of this peak indicated that the transition of HA from amorphous solid to crystalline solid was disappeared. In addition, the dehydration peak of HA shifted to a lower temperature (around 100 °C), indicating that the interaction between water and polymer decreased with conjugation of the hydrophobic rapamycin. The endothermic peak of rapamycin shifted to a higher temperature (near 210 °C). The enthalpy (ΔH) was calculated by integrating over the endothermic peak area. For 1.14 %, 2.21 % and 4.78 % (w/w) drug loaded HA, the ΔH was 33.6 ± 0.95, 53.58 ± 0.83 and 65.96 ± 2.81 J/g, respectively. This demonstrated that the degree of thermal stability of HA-L-Rapa decreased with increased drug loading degree.

TGA Thermogravimetric analysis showed that the drug-polymer conjugates were stable up to 200 °C, when degradation began (Figure 2B). There were two transition regions during the decomposition process. For the non-drug conjugated HA, the 6.402 % weight lost at approximately 100 °C was consistent with the expected water content of
HA. The maximum decomposition, 72.24 % wt, occurred at 235.72 °C which is consistent with polymer decomposition. The temperature of maximum degradation decreased with increasing drug loading: 224.14 °C for the 1.14 % (w/w) conjugate, 218.35 °C for the 2.2 % (w/w) conjugate, and 216.61 for the 4.78 % (w/w) conjugate.

The first transition region corresponds to the loss of water bonded to the HA molecule. The degradation temperatures of HA-L-Rapa conjugates were lower and the weight losses were smaller compared to HA\textsubscript{35k}. This trend is consistent with the DSC data, and it can be explained by that the water content was decreased when the hydrophobic molecules were conjugated on the HA surface. The second transition region is polymer degradation. The TGA plots illustrated that the weight loss was decreased with higher drug conjugated HA. This is also consistent with DSC data that the enthalpy at this region was increased with the drug loading degree.

**Flow Cytometry**

The expression of CD44 receptors on MDA-MB-468 cells was studied by flow cytometry. The cells were directly stained with PE-CD44 antibody and the PE-IgG1 isotope was used as a control (Figure 3). Protein quantification by flow cytometry demonstrated that the percentage of CD44 positive cells in MDA-MB-468 and 4T1.2neu was 99.92 % and 89.59 %, respectively. When MDA-MB-468 CD44 binding sites were blocked with H-CAM, the percentage of active sites decreased to 0.57 %. This result indicated that H-CAM can be used as an inhibitor to block the receptor-mediated endocytosis of HA.

**In Vitro Efficacy Study**
Cytotoxicity of unconjugated rapamycin and HA-L-Rapa at different concentrations was determined in MDA-MB-468 cells with or without H-CAM treatment (Figure 4). In CD44 positive MDA-MB-468 cells, HA-L-Rapa decreased cell-viability by 8.72 % compared to rapamycin ($p = 0.027$) at 10 µM. The addition of H-CAM blocked CD44-mediated uptake and there was no significant difference in cell viability between HA-L-Rapa and the free drug ($p = 0.065$).

Cellular Uptake Analysis MDA-MB-468 cells with or without H-CAM in a 12-well plate were treated with free rapamycin or HA-L-Rapa at a drug concentration of 10 µM. Drug concentration in the cell culture medium was analyzed by HPLC. In CD44 positive cells, the polymer drug conjugate significantly improved the drug uptake by 3.2 times compared to the free rapamycin ($p = 0.012$). When CD44 was blocked with H-CAM, there was no difference in rapamycin uptake between the free drug and polymer conjugate groups ($p = 0.13$).

Pharmacokinetics Evaluation

The pharmacokinetics of free rapamycin (i.p.) and HA-L-Rapa (s.c.) were compared in female Sprague-Dawley rats ($n = 3$). A two-compartment pharmacokinetic model was selected to describe the exponential nature of the pharmacokinetics disposition of the drug (Figure 5). The area under the plasma concentration time curve (AUC$_{0-\infty}$) of rats administrated with HA-L-Rapa was 2.78-fold greater than that of the free drug, and the concomitant total body clearance was 2.09-fold slower (Table 2).

Animal Survival and Tumor Suppression Studies
BALB/c mice were inoculated with 4T1.2neu cells to evaluate the attenuation effect of HA-L-Rapa on overall tumor progression. The median survival times of control, free rapamycin and HA-L-Rapa treatment groups were 17, 15 and 22 days, respectively (Figure 6A). Regression analysis demonstrated that HA-L-Rapa treatment was associated with significantly longer survival of mice with mouse mammary carcinoma compared with both the untreated control group ($p = 0.047$) and free drug treatment group ($p = 0.018$).

The *in vivo* 4T1.2neu breast cancer model also illustrated a significant decrease ($p = 0.049$) in tumor volume on day 20 in BALB/c mice treated with HA-L-Rapa (10 mg/kg equivalent rapamycin) compared with that of the control group. Free rapamycin (10 mg/kg) also decreased tumor volume; however, the difference was not significant ($p = 0.056$).

**Tissue Distribution**

Twelve hours after s.c injection of HA-L-Rapa, the drug concentrations in tumor, lymph and lung were 1.56, 2.78 and 3.23-fold greater than the free drug treatment group (Table 3). The order of drug concentrations for the control group, free rapamycin (i.p.), were tumor > lymph > lungs > kidneys > heart > liver > muscle > spleen > brain. The drug concentration of HA-L-Rapa (s.c.) formulation were lungs > lymph > tumor > spleen > muscle > liver > kidneys > heart > brain.

**Discussion**
In this study, we described the use of HA as a drug delivery carrier that can enhance the efficacy of the conjugated rapamycin against CD44 positive cancer cells. Previously in our lab, we showed that the \( t_{50\%} \) of the lymphatic drainage of medium length HA (35 kDa – 74 kDa) to the axillary lymph node was 15 -17 h and the \( t_{\text{max}} \) was around 2 h (27). The release half-life of rapamycin from HA-Temsirolimus in PBS was approximately 4 h. The bulk of the drug would therefore be released before the polymer cleared from the target site. However, the sustained release characteristics can be improved by using 3-amino-4-methoxy-benzoic acid instead of ADH as a linker to conjugate the drug. This can be explained as HA-Temsirolimus was prepared using an unhindered ester, which allows rapid hydrolysis and release of the drug in water and serum. In comparison, the ester bond in HA-L-Rapa is stabilized by the para site methoxy group on the benzene ring that served as a strong electron donating group and reduced the hydrolysis rate. In addition, the ester bond in HA-L-Rapa was more hindered and the drug was in a more rigid condition. These structural configurations provided a more hydrophobic environment than that of HA-Temsirolimus, which may limit access by serum esterase. The release half-life was increased to approximately 36 h in serum supplemented PBS. This could provide a sustained release of the drug at the targeted tissue and minimize the systemic toxicity by reducing the necessary drug dose and limiting drug non-targeted tissue exposure.

Low molecular weight HA (less than 10 kDa) was reported to reversibly bind CD44 and is associated with immunogenicity. However, higher molecular weight HA (greater than 30 kDa) binds irreversibly to CD44 due to the increased multivalent interactions (28). The HA\(_{35k}\) used in this study has approximately 87 D-glucuronic acid repeating
units. The 2.6 % w/w loading is equivalent to one rapamycin per one polymer chain, so over 98 % of the glucuronic side chains are available for binding CD44.

The in vitro results of the antibody blocking studies showed that the internalization of HA-drug conjugate was inhibited by the H-CAM CD44 inhibitor, which blocked endocytosis and CD44 specific uptake. Since HA-L-Rapa entered the cells through an endocytic pathway, inhibition of this pathway resulted in a reduction of the internalization degree of the polymer drug conjugate. Cellular uptake of the lipid permeable free drug is driven by a concentration gradient. After equilibrium is established, no more drug is able to enter cells, hence inhibition of CD44 receptor did affect free rapamycin uptake by MDA-MB-468 cells. Receptor mediated transport of HA-L-Rapa improved drug delivery in CD44 positive cells and the cytotoxicity was also significantly enhanced. These results also indicated that conjugate of rapamycin does not inhibit the HA-CD44 interaction at the amounts studied; this strategy could be utilized as a novel drug delivery platform for targeted chemotherapy with rapamycin.

This study limited rapamycin to i.p. injection and the conjugate to local s.c. administration. Rapamycin is poorly water soluble and no safe i.v. formulation has been reported. Clinical trials of i.v. rapamycin resulted in injury (swelling and focal lesion) at the injection site, lymphoid atrophy and periarterial edema in the heart, liver (FDA NDA 21-083). Our own previous rat studies demonstrated significant morbidity and a 40 % mortality of i.v. rapamycin in rats (26). Rapamycin cannot be given subcutaneously repeatedly and safely as the free drug. Myckatyn reported skin ulceration in mice administrated 2 mg/kg rapamycin (one fifth of our dose), and given the ulceration potential of the 4T1.2neu model, this control study was not permitted by institutional
animal care guidelines (29). Therefore, in this study, intravenous administration of rapamycin was not investigated.

The pharmacokinetics profile of s.c. HA-L-Rapa was greatly altered compared to the standard i.p. rapamycin formulation. The high value of $V_d$ of free rapamycin results from its lipophilicity and thus high tissue distribution. The HA conjugate significantly reduced the volume distribution possibly by minimizing nonspecific tissue binding. The increased AUC and slower clearance rate of s.c. HA-L-Rapa are consistent with the sustained release of the drug from the conjugate.

HA targets to CD44 receptors and could specifically bind to CD44 positive cells. HA molecules are uptake by the cells through CD44 receptor-mediated endocytosis followed by lysosomal degradation. The distribution of rapamycin in the HA-L-Rapa treated mice was mainly in the tumor. The significant improvement in exposure drug in target tissues by HA-L-Rapa suggested that a lower dose of rapamycin may achieve a therapeutic effect.

In addition, the 4T1 is a highly metastatic cancer cell line (30). At necropsy, lung metastases were observed in 5/5 of the free rapamycin group and only 1/5 of the HA-L-Rapa group. This is consistent with that more drugs were detected in HA-L-Rapa treated animals' lungs. The lung accumulation can be explained by the prevalence of four major HA-binding proteins that potentially contributed to lung pathology regulation: CD44, toll-like receptor (TLR4), HA-binding protein 2 (HABP2) and receptor for HA-mediated motility (RHAMM) (31). Meanwhile, HA constitutes the major
glycosaminoglycan in lung tissue and it has diverse function in lung homeostasis and pulmonary disease.

HA is cleared from tissues mainly by the lymphatic system due to the presence of lymphatic endothelial hyaluronan receptor, LYVE-1 (32). The expression of LYVE-1 is largely restricted to lymphatic vessels and splenic sinusoidal endothelia cells (33). The LYVE-1 receptor has a 41 % homology to the HA-binding CD44 receptor. This provided an additional HA targeting mechanism. It is consistent with HA-L-Rapa treated mice, where more drug accumulated in the lymph node and the spleen compared to the free drug treatment group.

The breast cancer cell line, 4T1, has an inherent propensity of ulceration (34). Our data illustrated that HA-L-Rapa treatment significantly inhibited tumor growth and diminished the incidence of ulcerated tumor in mammary carcinoma bearing mice. The free rapamycin treatment group showed smaller tumor sizes compared to the non-treatment group. However, the animals were sacrificed due to the presence of hemorrhagic skin ulcers and there was no statically significant survival benefit compared to saline.

Rapamycin is a promising therapeutic agent with both immunosuppressant (mTOR inhibitor) and anti-tumor activities. The immunosuppressant effect of rapamycin comes from the inhibition of T and B cell proliferation (35, 36), which is the same mechanism of anticancer activity. However, based on currently available evidence, the anti-neoplastic activity is more dominant than that of immunosuppressant effects (37). In our study, we developed a formulation that can target the drug specifically to the tumor and lymphatic
tissue via a CD44 interaction. This could further minimize the systemic immunosuppressant activity of rapamycin and augment the anti-cancer effects of the drug.

These results suggest that the rapamycin loaded HA nanoparticle could be used as a potential therapeutic agent for CD44 positive cancers.

Acknowledgements

The authors would like to thank Joanna Krise for her assistance performing LC-MS studies. TZ and SD contributed equally to this study.
References

Figures Captions

Figure 1. Synthesis of HA-Temsirolimus (A) and HA-Rapamycin-42-(3’-amino-4’-methoxy) benzoate (HA-L-Rapa) (B).

Figure 2. (A) DSC profiles of rapamycin, HA_{35k} and different loading degree HA-L-Rapa. (B) TGA analysis of HA_{35k} and different loading degree HA-L-Rapa.

Figure 3. Flow cytometry analysis of available CD44 receptor binding sites on the cell surface. MDA-MB-468 (A) and MDA-MB-468 treated with H-CAM (B) were stained with PE anti-CD44 antibody (dark grey) and PE IgG1 isotope (light grey).

Figure 4. Cell viability assay of rapamycin and HA-L-Rapa in MDA-MB-468 cells (A) CD44 positive cells and (B) with H-CAM blocking of CD44 (Mean ± SD) (*, p < 0.05).

Figure 5. Plasma rapamycin concentration versus time disposition (Mean ± SD, n = 3).

Figure 6. HA-L-Rapa significantly improved animal survival (A) and suppressed tumor progression (B) in BALB/c mice with 4T1.2neu breast cancer (Mean ± SD) (n = 5; *, p < 0.05).
Graphical Abstract:

CD44-tropic hyaluronic acid matrix nanoparticles containing the mTOR inhibitor rapamycin were formulated for breast cancer targeted chemotherapy. Sustained and controlled release of the drug from the particle was achieved using a hindered ester prodrug. In an immunocompetent, orthotropic murine model of breast cancer, subcutaneous administration of rapamycin loaded CD44-targeted particles significantly reduced the prevalence of distant lung metastasis and improved animal survival.
<table>
<thead>
<tr>
<th>Formulations</th>
<th>Size (nm)</th>
<th>Zeta Potential (mV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HA$_{35k}$</td>
<td>10 ± 0.045</td>
<td>-77.36 ± 14.78</td>
</tr>
<tr>
<td>HA-L-Rapa 1.14 % (w/w)</td>
<td>9.8 ± 0.124</td>
<td>-56.15 ± 8.18</td>
</tr>
<tr>
<td>HA-L-Rapa 2.21 % (w/w)</td>
<td>9.4 ± 0.012</td>
<td>-44.40 ± 8.11</td>
</tr>
<tr>
<td>HA-L-Rapa 4.78 % (w/w)</td>
<td>10.7 ± 0.014</td>
<td>-13.10 ± 3.96</td>
</tr>
</tbody>
</table>

Table 1. Particle sizes and zeta potentials of HA-L-Rapa with different loading degree (Mean ± SD).
<table>
<thead>
<tr>
<th>Parameters</th>
<th>Unit</th>
<th>Free Rapamycin i.p.</th>
<th>HA-L-Rapa s.c.</th>
</tr>
</thead>
<tbody>
<tr>
<td>$V_d$</td>
<td>L/kg</td>
<td>37.68 ± 15.49</td>
<td>12.88 ± 5.24*</td>
</tr>
<tr>
<td>$AUC_{0-\infty}$</td>
<td>$(\mu g \cdot h)/mL$</td>
<td>2.36 ± 0.46</td>
<td>6.57 ± 0.92**</td>
</tr>
<tr>
<td>$Cl$</td>
<td>L/(kg·h)</td>
<td>4.23 ± 0.95</td>
<td>2.02 ± 0.73**</td>
</tr>
<tr>
<td>$C_{max}$</td>
<td>ng/mL</td>
<td>172.86 ± 69.06</td>
<td>544.84 ± 123.56*</td>
</tr>
<tr>
<td>$t_{1/2}$</td>
<td>h</td>
<td>10.49 ± 3.76</td>
<td>27.95 ± 13.33*</td>
</tr>
</tbody>
</table>

**Table 2.** Pharmacokinetic parameters after i.p. free rapamycin and s.c. HA-L-Rapa. (Mean ± SD, n = 3) (*: p < 0.05; **: p < 0.01)
<table>
<thead>
<tr>
<th>Tissue</th>
<th>Free Rapamycin (µg/g)</th>
<th>HA-L-Rapa (µg/g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Brain</td>
<td>107.69 ± 37.25</td>
<td>195.01 ± 48.56*</td>
</tr>
<tr>
<td>Kidneys</td>
<td>469 ± 27.27</td>
<td>495.04 ± 349.05</td>
</tr>
<tr>
<td>Tumor</td>
<td>1237.01 ± 256.20</td>
<td>1931.84 ± 195.46**</td>
</tr>
<tr>
<td>Lymph</td>
<td>705.28 ± 115.87</td>
<td>1972.78 ± 634.80**</td>
</tr>
<tr>
<td>Heart</td>
<td>440.73 ± 81.57</td>
<td>358.88 ± 110.31</td>
</tr>
<tr>
<td>Liver</td>
<td>378.72 ± 40.56</td>
<td>565.56 ± 54.06*</td>
</tr>
<tr>
<td>Lungs</td>
<td>617.53 ± 298.54</td>
<td>1990.00 ± 634.80**</td>
</tr>
<tr>
<td>Muscle</td>
<td>315.56 ± 154.12</td>
<td>927.64 ± 453.48*</td>
</tr>
<tr>
<td>Spleen</td>
<td>183.91 ± 66.46</td>
<td>1386.31 ± 342.71**</td>
</tr>
</tbody>
</table>

Table 3. Mean concentration of rapamycin in mice tissues measured at 12 h post administration of 10 mg/kg equivalent rapamycin by i.p. (free rapamycin) and s.c. (HA-L-Rapa) injection. (Mean ± SD, n = 5) (*, p < 0.05; **, p < 0.01)